

HEALTH ENTITIES

COMPANY NAME: _____ NAIC Company Code: _____

Contact: _____ Telephone: _____

REQUIRED FILINGS IN THE STATE OF: Maryland Filings Made During the Year 2026

*DOMICILED COMPANIES – ALL 2025 ANNUAL STATEMENT AND 2026 QUARTERLY FILINGS ARE PERMITTED TO BE SUBMITTED ELECTRONICALLY. COMPANIES SHOULD RETAIN ONE HARD COPY TO BE FILED WITH THE MARYLAND INSURANCE ADMINISTRATION UPON REQUEST AT A LATER DATE. ELECTRONIC SIGNATURES WILL BE ACCEPTED. PLEASE SEE FILING INSTRUCTIONS BELOW

(1) Checklist	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC				
I. NAIC FINANCIAL STATEMENTS								
	1	Annual Statement (8 ½"X14")	1	EO	xxx	3/1	NAIC	
	1.1	Printed Investment Schedule detail (Pages E01-E30)	1	EO	xxx	3/1	NAIC	
	2	Quarterly Financial Statement (8 ½" x 14")	1	EO	xxx	5/15, 8/15, 11/15	NAIC	
II. NAIC SUPPLEMENTS								
	11	Accident & Health Policy Experience Exhibit	1	EO	xxx	4/1	NAIC	
	12	Actuarial Opinion	1	EO	xxx	3/1	Company	
	13	Life Supplemental Data due March 1	1	EO	xxx	3/1	NAIC	
	14	Life Supplemental Data due April 1	1	EO	xxx	4/1	NAIC	
	15	Life Supp Statement non-guaranteed elements – Exhibit 5, Int. #3	1	EO	xxx	3/1	Company	
	16	Life Supp Statement on par/non-par policies – Exhibit 5 Int. 1&2	1	EO	xxx	3/1	Company	
	17	Life, Health & Annuity Guaranty Assessment Association Assessable Premium Exhibit, Parts 1 and 2	1	EO	xxx	4/1	NAIC	
	18	Long-Term Care Experience Reporting Forms	1	EO	xxx	4/1	NAIC	
	19	Management Discussion & Analysis	1	EO	xxx	4/1	Company	
	20	Market Conduct Annual Statement Premium Exhibit for Year	1	EO	xxx	3/1	Company	
	21	Medicare Part D Coverage Supplement	1	EO	xxx	3/1, 5/15, 8/15, 11/15	NAIC	
	22	Medicare Supplement Insurance Experience Exhibit	1	EO	xxx	3/1	NAIC	
	23	Risk-Based Capital Report	1	EO	xxx	3/1	NAIC	
	24	Schedule SIS	1	N/A	xxx	3/1	NAIC	
	25	Supplemental Compensation Exhibit	xxx	N/A	xxx	3/1	NAIC	Filing required for MCO only
	26	Supplemental Health Care Exhibit (Parts 1 and 2)	1	EO	xxx	4/1	NAIC	
	27	Supplemental Investment Risk Interrogatories	1	EO	xxx	4/1	NAIC	
III. ELECTRONIC FILING REQUIREMENTS								
	61	Annual Statement Electronic Filing	xxx	EO	xxx	3/1	NAIC	
	62	March .PDF Filing	xxx	EO	xxx	3/1	NAIC	
	63	Risk-Based Capital Electronic Filing	xxx	EO	N/A	3/1	NAIC	
	64	Risk-Based Capital .PDF Filing	xxx	EO	N/A	3/1	NAIC	
	65	Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC	
	66	Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC	
	67	Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	68	Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	69	June .PDF Filing	xxx	EO	xxx	6/1	NAIC	
IV. AUDIT/INTERNAL CONTROL RELATED REPORTS								
	81	Accountants Letter of Qualifications	1	EO	xxx	6/1	Company	

(1) Checklist	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
	82	Audited Financial Reports	1	EO		6/1	Company	
	83	Audited Financial Reports Exemption Affidavit	1	N/A	xxx		Company	
	84	Communication of Internal Control Related Matters Noted in Audit	1	EO	xxx	8/1	Company	
	85	Independent CPA (change)	1	N/A	xxx		Company	Filings should be submitted to the Maryland Insurance Administration via email at: cafilings.mia@maryland.gov
	86	Management's Report of Internal Control Over Financial Reporting	1	N/A	xxx	8/1	Company	
	87	Notification of Adverse Financial Condition	1	N/A	xxx		Company	
	88	Relief from the five-year rotation requirement for lead audit partner	1	EO	xxx	3/1	Company	
	89	Relief from the one-year cooling off period for independent CPA	1	EO	xxx	3/1	Company	
	90	Relief from the Requirements for Audit Committees		EO	xxx	3/1	Company	
	91	Request for Exemption to File Management's Report of Internal Control Over Financial Reporting		N/A	N/A		Company	COMAR 31.05.11.14
		V. STATE REQUIRED FILINGS						
	101	Corporate Governance Annual Disclosure*** (see NOTES and INSTRUCTIONS below)	1	0	xxx	6/1	Company	Refer to Title 4, Subtitle 5 of the Insurance Article
	102	Filings Checklist (with Column 1 completed)		0			State	
	103	Form B - Holding Company Registration Statement (Forms B&C) (see NOTES and INSTRUCTIONS below)	1	0	xxx	5/1	State	Refer to section 7-601 of the Insurance Article
	104	Form F Insurance Holding Company system Model Regulation, Form F, Enterprise Risk Report (Model 450-27**** (see NOTES and INSTRUCTIONS below)	1	0	xxx	7/1	State	Refer to Section 7-603(h) of the Insurance Article
	105	ORSA*****	1	0	xxx		Company	Refer to Title 32 of Insurance Article
	106	Premium Tax Statement	1	0	1	3/15	State	6-102 of the Insurance Article ANNUAL PREMIUM TAX STATEMENT FILINGS: IT IS STRONGLY RECOMMENDED THAT COMPANIES FILE THROUGH OPTINS. PLEASE SEE MIA WEBSITE FOR FURTHER INSTRUCTIONS https://insurance.maryland.gov/Pages/premium-tax/index.aspx Email questions to: premiumtaxfiling@maryland.gov
	109	Group Capital Calculation (File with lead state only)	1	0	0	5/1	State	§ 7-603 Bulletin 24-17 Annual Group Capital Calculation

		Holding company filings (Forms A, B, C, D, E, F, and Corporate Governance Annual Disclosure) should be submitted to the Maryland Insurance Administration via email at: holdingcompanyfiling.mia@maryland.gov	
	C	DOMESTIC COMPANIES - COVID-19 EMERGENCY INSTRUCTIONS Electronic Signatures: Electronic filings should contain electronic signatures The MIA generally instructs companies to file certain documents in hard copy form with original (wet) signature, and in some cases sent via certified mail or first-class and with notary requirements. The hard copy, original (wet) signature, and related filing requirements are currently waived, however, companies are expected to file the documents electronically. The electronic filing should contain electronic signatures. The companies should retain a hard copy so that they can file the hard copy, at a later date if requested by the Maryland Insurance Administration. With respect to the hard copies to be filed, the requirement for wet signatures is waived.	
	D	Mailing Address: Maryland Insurance Administration Examination & Auditing Unit 200 St. Paul Place Suite 2700 Baltimore, MD 21202	
	E	Mailing Address for Filing Fees: Maryland Insurance Administration Attn: Fiscal Services 200 St. Paul Place Suite 2700 Baltimore, MD 21202	
	F	Mailing Address for Premium Tax Payments: Maryland Insurance Administration Attn: Fiscal Services 200 St. Paul Place Suite 2700 Baltimore, MD 21202	
	G	Delivery Instructions: Electronic Postmark on or before due date	
	H	Late Filings: Subject to penalty and interest	
	I	Original Signatures: Electronic	Electronic signatures accepted for all filings.
	J	Signature/Notarization/Certification: Yes	Domestic insurers – Notarization/Certification should be electronic, if possible.
	K	Amended Filings: Yes	
	L	Exceptions from normal filings: Approval must be in writing	
	M	Bar Codes (State or NAIC): NAIC	
	N	Signed Jurat:	Signed Jurat page is no longer required for foreign insurers. Domestic insurers – Jurat page should contain electronic signatures.
	O	NONE Filings:	

		Yes	
P		Filings new, discontinued or modified materially since last year: Yes, electronic filings only	
Q		Line 103, Insurance Holding Company System Model Regulation, Form F, Enterprise Risk Report (Model 450-27)	Refer to Section 7-603(h) of the Insurance Article
R		Line 110, Requirement for Issuers of QHPs in Maryland: Annual Assurance Statement, MIA Bulletin 13-24	Refer to MIA Bulletin 13-24 at http://insurance.maryland.gov/Insurer/Documents/bulletins/bulletin-13-24-nelson-amendment-073113.pdf and “Reports Due from Regulated Entities to the Maryland Insurance Administration” found on the MIA website at http://insurance.maryland.gov/Insurer/Pages/CompanyFilingRequirements.aspx , under Summary of Maryland Required Filings Filings should be submitted to the Maryland Insurance Administration via email at: efilings.mia@maryland.gov
S		Line 110, Requirement for Issuers of QHPs in Maryland: Segregation Plan, MIA Bulletin 13-24	Refer to MIA Bulletin 13-24 at http://insurance.maryland.gov/Insurer/Documents/bulletins/bulletin-13-24-nelson-amendment-073113.pdf and “Reports Due from Regulated Entities to the Maryland Insurance Administration” found on the MIA website at http://insurance.maryland.gov/Insurer/Pages/CompanyFilingRequirements.aspx , under Summary of Maryland Required Filings Filings should be submitted to the Maryland Insurance Administration via email at: efilings.mia@maryland.gov
T		Additional Questions:	Refer to “Reports due from Regulated entities to the Maryland Insurance Administration” found on the Mia website at http://insurance.maryland.gov/Insurer/Pages/companyfilingRequirements.aspx under Summary of Maryland Required Filings

General Instructions For States to Complete Checklist

Each checklist is divided into five sections. The first section contains the major NAIC filings. The second section lists all of the NAIC supplements, whether they are to be bound into the statement or not. The third section lists items to be filed electronically with the NAIC. The fourth section is a list of all of the filings related to the audited financial statements. The fifth section lists state-specific filing requirements. **The items in the first four sections should remain in the same order as the examples.** This will enable companies to locate common information about a particular filing from each state. Finally, there is a section of notes to the instructions. The purpose of the Notes is to provide companies with state-specific information in a standard format. You may require more notes than provided; however, **the first notes should remain in the same order and format for each state.** Each state-specific note should contain state-specific instructions where any state deviates from specific NAIC instructions. The state should mail the company instructions to companies along with the checklist or post these instructions to its website. New requirements or changes to the checklists will be highlighted for your convenience.

Please Note: **Your state's requirements for companies to file with the NAIC should be incorporated into this Checklist.**

Column 1 Checklist

This column provides the company a method for marking completed forms or filings.

Column 2 Line #

Refers to a standard filing number used for easy reference and which may change from year to year, but should remain the same between states (i.e., number 61 - Annual Statement Electronic Filing is the same for all jurisdictions.). States may expand the State Required Filings Section to include up to 100 filings required by any individual state.

Column 3 Required Filings

Name of item or form to be filed. Each section is alphabetized. Please note that the items shown under "State Required Filings" may not apply to your state. The items included are those that a significant number of states require. Please add your state-specific filings in Section V.

Group Capital Calculation has been added to the "State Required Filings" section of the checklist.

If more than one state page is required from each company, please insert this requirement under "State Required Filings." Likewise, if your state requires the Risk-Based Capital from your domestic companies to be filed with you in addition to companies filing this with the NAIC, please insert this requirement under "State Required Filings."

The 1999 *Annual Statement Instructions* were modified to waive paper filings of certain NAIC supplements (those supplements previously included in the Electronic Filing Pilot Project) and certain investment schedule detail, if such investment schedule data is available to the states via the NAIC database. The checklists have been modified to reflect this action taken by the Blanks (EX) Task Force. XXX appears in the "Number of Copies" "Foreign" column for the appropriate schedules and exhibits. If you are deviating from the *Annual Statement Instructions* and wish to have these items filed in hard copy with your department, you should remove XXX from this column and insert the number of copies required. You should also make a note to companies that an additional copy is not required if these schedules are bound in the Annual Statement.

Column 4 Number of Copies

This column indicates the number of copies that a foreign or domestic company is required to file for each type of form. The 1999 *Annual Statement Instructions* were modified to exclude the requirement for filing paper copies of investment schedules from foreign companies if the data is captured on the NAIC database. The 1999 *Annual Statement Instructions* were modified to include the supplements that were part of the Electronic Filing Pilot. An XXX appears in the foreign column, if the schedule or supplement is included in either of these instructions. If you require paper copies of these schedules or supplements, you should remove XXX from this column and insert the number of copies required. An N/A appears in this column if the filing is only required with the state of domicile according to the NAIC *Annual Statement Instructions*. This does not preclude any state from requesting these documents from any company. If you wish to request the documents, simply remove the XXX or N/A and insert the number of copies that you require.

Column 5 Due Date

Due Date indicates the date a filing is required with the state insurance regulatory authority. If you do not require a specific filing, please replace the date with XXX. Use Note E to explain any other filing instructions regarding due dates.

Column 6 Form Source

This column contains one of three words: "NAIC," "State," or "Company," If this column contains "NAIC," the company must obtain the forms from the appropriate vendor. If this column contains "State," the state will provide the forms with the filing instructions. If this column contains "Company," the company, or its representative (e.g., its CPA firm), is expected to provide the form based upon the appropriate state instructions or the NAIC *Annual Statement Instructions*. Insert a "#" sign after the form source where the state has changed the requirements since last year or the item is a new NAIC blank. Do not insert a "#" sign if the NAIC blank has changed, as this would lead to many "#" signs, making its use somewhat meaningless.

Column 7 Applicable Notes

This column contains references to the Notes and Instructions that apply to each item. However, Notes A-K apply to all filings.

Item 85

Insert specific instructions related to appointment or change in Independent CPA.